

**DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION\***

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

**HIGH-THROUGHPUT FORMATION, IDENTIFICATION, AND ANALYSIS OF DIVERSE SOLID FORMS**

and for which a patent application:

☒ is attached hereto and includes amendment(s) filed on *(if applicable)*

☐ was filed in the United States on as Application No. *(for declaration not accompanying application)*

with amendment(s) filed on *(if applicable)*

☐ was filed as PCT international Application No. on and was amended under PCT Article 19 on *(if applicable)*

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			YES <input type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

PROVISIONAL APPLICATION NUMBER	FILING DATE
60/221,539	July 28, 2000
60/196,821	April 13, 2000
60/175,047	January 07, 2000

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED

\* for use only when the application is assigned to a company, partnership or other organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

201	FULL NAME OF INVENTOR	LAST NAME Levinson	FIRST NAME Douglas	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY Sherborn	STATE OR FOREIGN COUNTRY MA	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	STREET 111 Maple Street	CITY Sherborn	STATE OR COUNTRY MA	ZIP CODE 01770
	SIGNATURE OF INVENTOR 201			DATE	
202	FULL NAME OF INVENTOR	LAST NAME Cima	FIRST NAME Michael	MIDDLE NAME J.	
	RESIDENCE & CITIZENSHIP	CITY Winchester	STATE OR FOREIGN COUNTRY MA	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	STREET 184 Mystic Valley Parkway	CITY Winchester	STATE OR COUNTRY MA	ZIP CODE 01890
	SIGNATURE OF INVENTOR 202			DATE	
203	FULL NAME OF INVENTOR	LAST NAME Lemmo	FIRST NAME Anthony	MIDDLE NAME V.	
	RESIDENCE & CITIZENSHIP	CITY Sudbury	STATE OR FOREIGN COUNTRY MA	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	STREET 32 Pokonoket Avenue	CITY Sudbury	STATE OR COUNTRY MA	ZIP CODE 01776
	SIGNATURE OF INVENTOR 203			DATE	
204	FULL NAME OF INVENTOR	LAST NAME Galakatos	FIRST NAME Nicholas	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY Sudbury	STATE OR FOREIGN COUNTRY MA	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	STREET 135 Peakam Road	CITY Sudbury	STATE OR COUNTRY MA	ZIP CODE 02474
	SIGNATURE OF INVENTOR 204			DATE	
205	FULL NAME OF INVENTOR	LAST NAME Putnam	FIRST NAME David	MIDDLE NAME A.	
	RESIDENCE & CITIZENSHIP	CITY Cambridge	STATE OR FOREIGN COUNTRY MA	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	STREET 143 Otis Street	CITY Cambridge	STATE OR COUNTRY MA	ZIP CODE 02141
	SIGNATURE OF INVENTOR 205			DATE	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Levinson *et al.*

Application No.: To be assigned

Group Art Unit: To be assigned

Filed: January 08, 2000

Examiner: To be assigned

For: HIGH-THROUGHPUT FORMATION,  
IDENTIFICATION, AND ANALYSIS  
OF DIVERSE SOLID-FORMS

Attorney Docket No.: 10436-0009-999

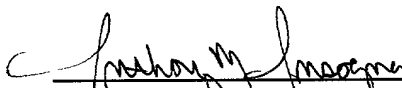
**REQUEST TO ESTABLISH SMALL ENTITY STATUS**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Sir:

It is respectfully requested that the above-identified application, submitted herewith, be accorded small-entity status. TransForm Pharmaceuticals, Inc., the assignee of the application, meets the requirements for small-entity status recited in 37 CFR § 1.27(a).

Respectfully submitted,

Date January 8, 2001

  
\_\_\_\_\_  
Anthony M. Insogna (Reg. No. 35,203)  
PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosure